



# TEMPUS

## Modernising Higher Education

# TEMPUS IV- SIXTH CALL FOR PROPOSALS

**TEMPUS INFORMATION DAY**

# Outline of the presentation

**Part I: Basic features of the Tempus IV programme**

**Part II: Results of the 5th Call for Proposals**

**Part III: Novelties under the 6th Call for Proposals**

**Part IV: Submission procedure**

**Part V: Steps in the selection process**

# Part I

## Basic features of the Tempus programme

# BASIC FEATURES (I)

## Objectives:

- To support the modernisation of higher education in Partner Countries surrounding the EU, the Russian Federation and Central Asia.
- To establish an area of cooperation between the European Union (EU) and the Partner Countries.
- To promote voluntary convergence with EU developments in the field of higher education.

## BASIC FEATURES (II)

### Approach:

- Institutional cooperation.
- Bottom-up programme, mainly implemented through Calls for Proposal, encouraging projects targeting reforms in higher education institutions and/or systems.
- Strong involvement of national authorities.
- Strong emphasis on dissemination, sustainability and exploitation of results.
- Complements other EU mobility programmes (e.g. Erasmus Mundus).

# BASIC FEATURES (III)

## Local Support:

- National Contact Points (NCPs) in EU Member States
- National Tempus Offices (NTOs) in Partner Countries (PCs)

## 3 TYPES OF ACTION

- Joint Projects: implemented at institutional level to reform curricula, improve university governance, create better links with society.
- Structural Measures: implemented at national level to develop and reform national higher education structures and systems in Partner Countries.
- Accompanying Measures: dissemination and information activities (*conferences, studies, exchange of good practice, National Tempus Offices, Higher Education Reform Experts, etc.*)

## GRANT SIZE

€ 500 000 to € 1 500 000

- For both Joint Projects and Structural Measures Projects.
- Minimum grant size for national projects from Montenegro and Kosovo\*: € 300,000.
- Minimum co-financing: 10%

## PROJECT DURATION

24 or 36 months

\* This designation is without prejudice to positions on status, and is in line with UNSCR 1244 and the ICJ Opinion on the Kosovo declaration of independence.

# ELIGIBLE PARTNERSHIPS

<b>National projects:</b> <b>(targeting 1 single Partner Country)</b>	<b>Multi-country projects:</b> <b>(targeting more than 1 Partner Country)</b>
minimum of 6 higher education institutions	minimum of 7 higher education institutions
3 from the involved Partner Country*	2 from <u>each</u> involved Partner country *(minimum 2 PC)
3 from 3 different EU countries	3 from 3 different EU countries

\*Except for Kosovo and Montenegro, where one higher education institution is sufficient.

# ELIGIBLE APPLICANTS

## Joint Projects:

- State-recognised public or private higher education institutions\* (either in the EU or PC)\*\*
- Associations, organisations or networks of higher education institutions

## Structural Measures:

- State-recognised public or private higher education institutions (either in the EU or PC)
- Associations, organisations or networks of higher education institutions
- Rector/teacher/student organisations

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\*HEIs established in EU must have a valid **Erasmus University Charter** issued on the name of the applicant institution

\*\* HEIs (either from EU or PC) must have been legally established for more than **5 years** by the deadline for submission of applications

## ELIGIBLE PARTNERS (2)

- State-recognised public or private HE institutions (either in the EU or PC)
- Associations, organisations or networks of HE institutions
- Rector/teacher/student organisations
- Non-governmental organisations
- Social partners and their training organisations
- Private and public enterprises
- Research institutions
- **Public administrations (*Ministries, other national/regional/local administrations*) or governmental organisations BUT with special funding conditions (only their travel costs and costs of stay can be reimbursed by the Grant)**

# ELIGIBLE PARTNERS

## Additional condition for Structural Measures projects

- The Ministries responsible for higher education in each Partner Country must be involved as a full partner.
- BUT under special funding conditions.

# ELIGIBLE ACTIONS

Themes structured around the main components of the modernisation agenda for higher education.

## ➤ **Joint Projects:**

- Curriculum reform
- Governance reform
- Higher Education and Society

## ➤ **Structural Measures projects**

- Governance reform
- Higher Education and Society

# PROGRAMME THEMES

## ❖ Curricular reform

- ✓ Modernisation of curricula: ECTS, 3 cycles, recognition of degrees

## ❖ Governance reform

- ✓ University management and student services
- ✓ Introduction of quality assurance
- ✓ Institutional and financial autonomy & accountability
- ✓ Equal access to HE
- ✓ Development of international relations

## ❖ Higher Education and Society

- ✓ Training of non-university teachers
- ✓ Development of partnerships with enterprises
- ✓ Knowledge triangle education/research/innovation
- ✓ Training courses for public services (Ministries/local authorities)
- ✓ Development of lifelong learning
- ✓ Qualification frameworks

# NATIONAL AND REGIONAL PRIORITIES

## National projects

*(targeting 1 single Partner Country)*

- Focus on the national priorities set for the Partner Country.
- Defined by the Ministries of Education in close consultation with the European Union Delegations in the Partner Countries.

# NATIONAL AND REGIONAL PRIORITIES (2)

## Multi-country projects *(targeting more than 1 Partner Country)*

- Focus on the regional priorities common to all PCs within a specific region
- Based on strategic documents from the European Commission
- Can address a national priority which is common to all participating PCs
- Cross-regional cooperation: Possible if the theme of the proposal is identified as a regional or national priority for all participating PCs

# CROSS-CUTTING PRIORITIES



Will be taken into consideration by external experts under the “**Relevance**” award criteria:

- Projects focusing on subject areas / themes listed as national priorities, insufficiently covered by past or existing projects
- Projects that include Partner Country higher education institutions, which have not benefitted (or had a limited participation) from Tempus IV funding

# PREFERENCE

Following the experts' assessment, the Evaluation Committee may give preference to:

- Structural Measures projects
- Multi-country projects, when cooperation is relevant and justified by detailed analysis of common needs and objectives and the choice of the countries coherent with the objectives proposed

# **Part II**

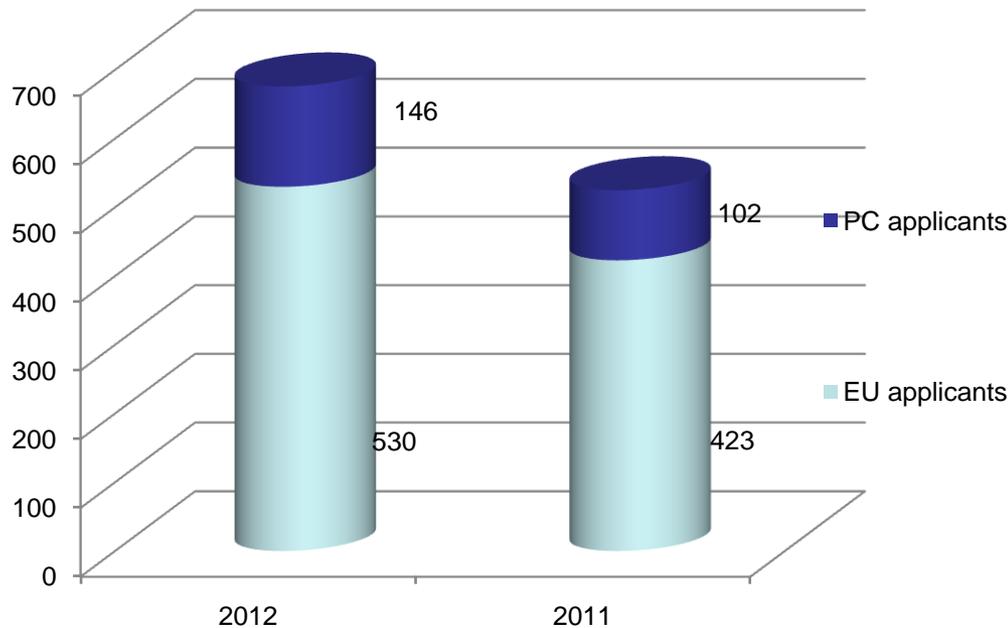
## **Fifth call for proposals**

**Overview on the selection process and the results**

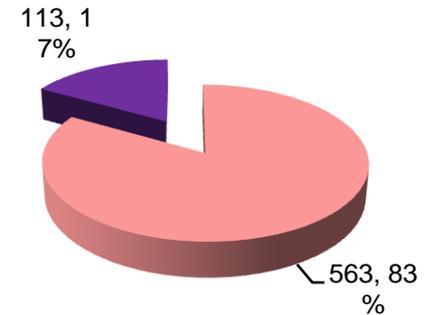
# Total number of applications received

## Applications Received

676 proposals  
146 (21.5%) PC applicants

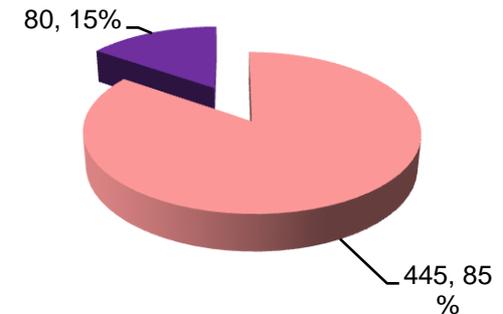


**2012**

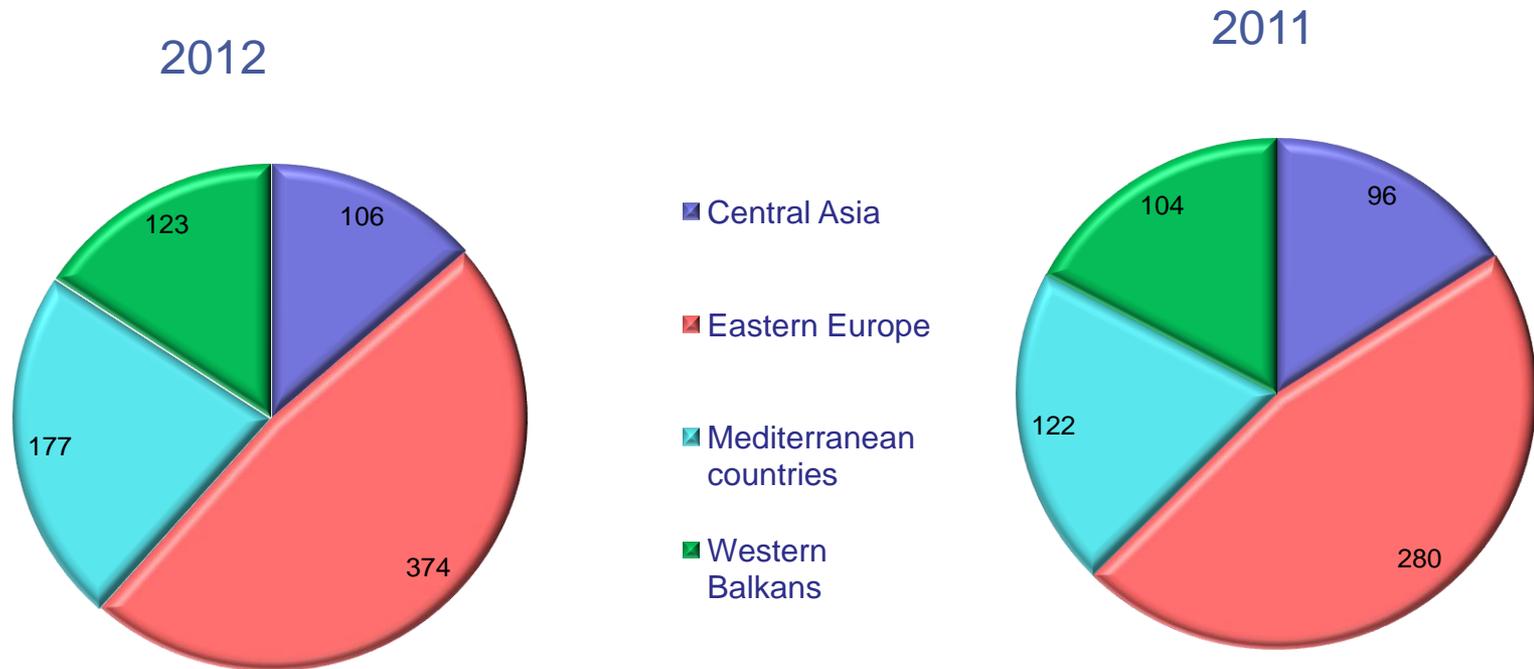


Joint Projects Structural Measures

**2011**

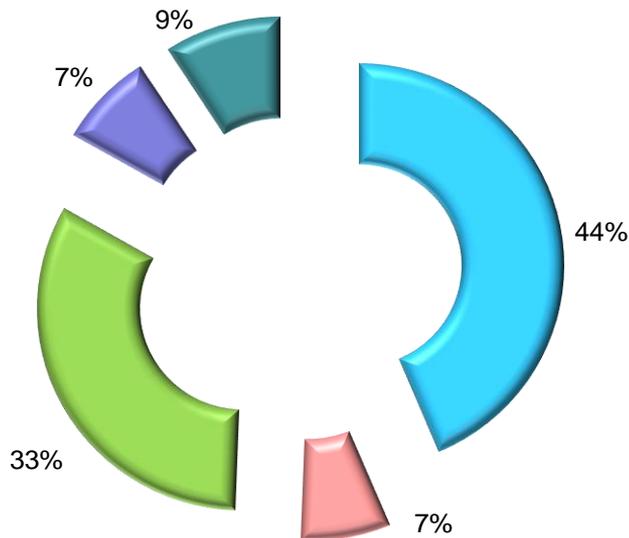


# Breakdown of applications by region



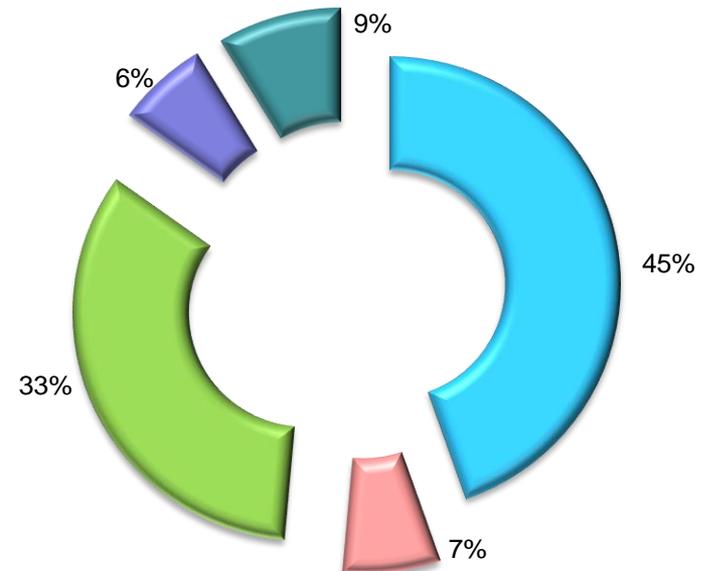
# Breakdown of applications by type of project

2012

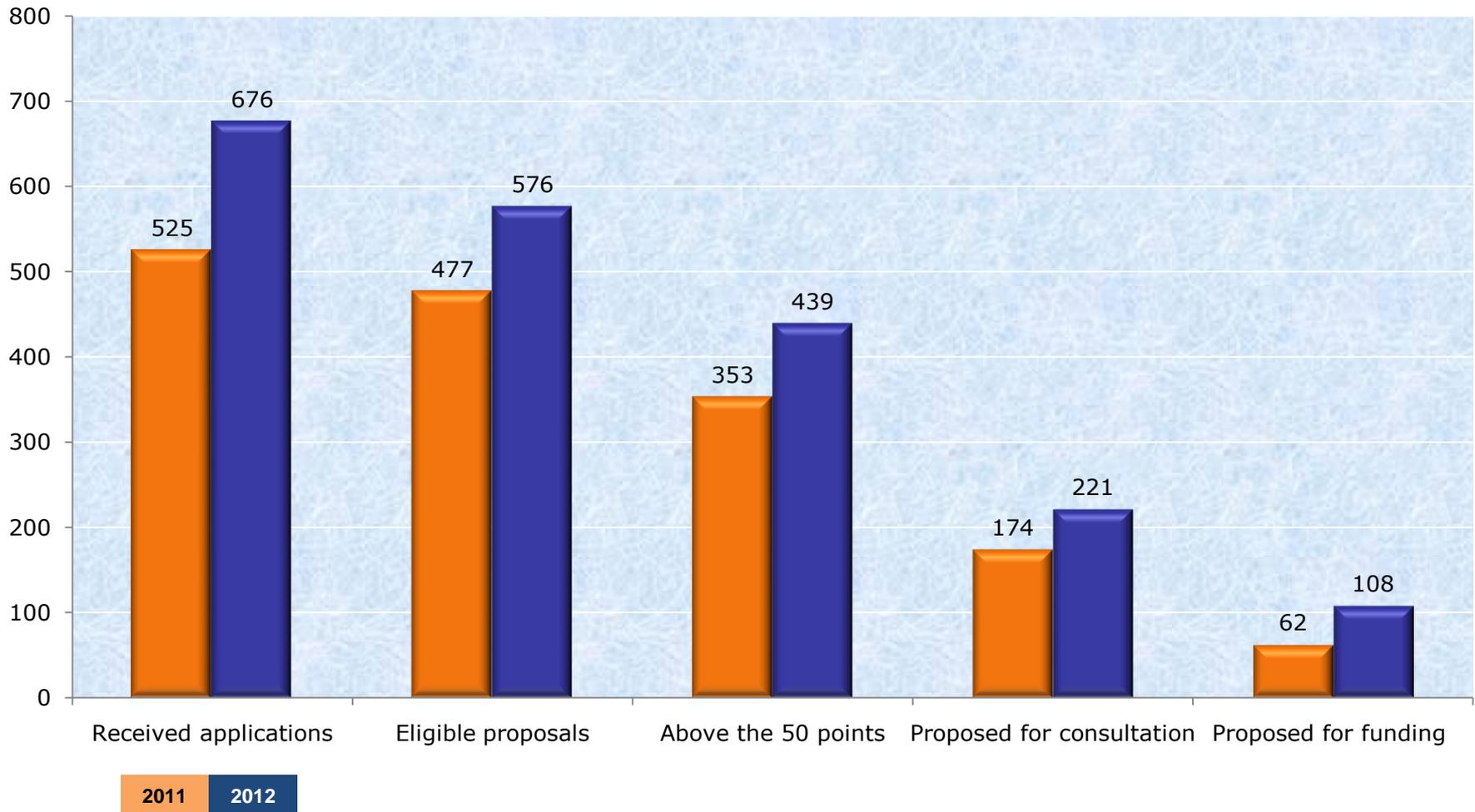


2011

- Joint Projects for Curriculum Reform
- Joint Projects for Governance
- Joint Projects for Higher Education and Society
- Structural Measures for Governance
- Structural Measures for her Education and Society



## Comparison of the selection process, 4<sup>th</sup> to 5<sup>th</sup> Call



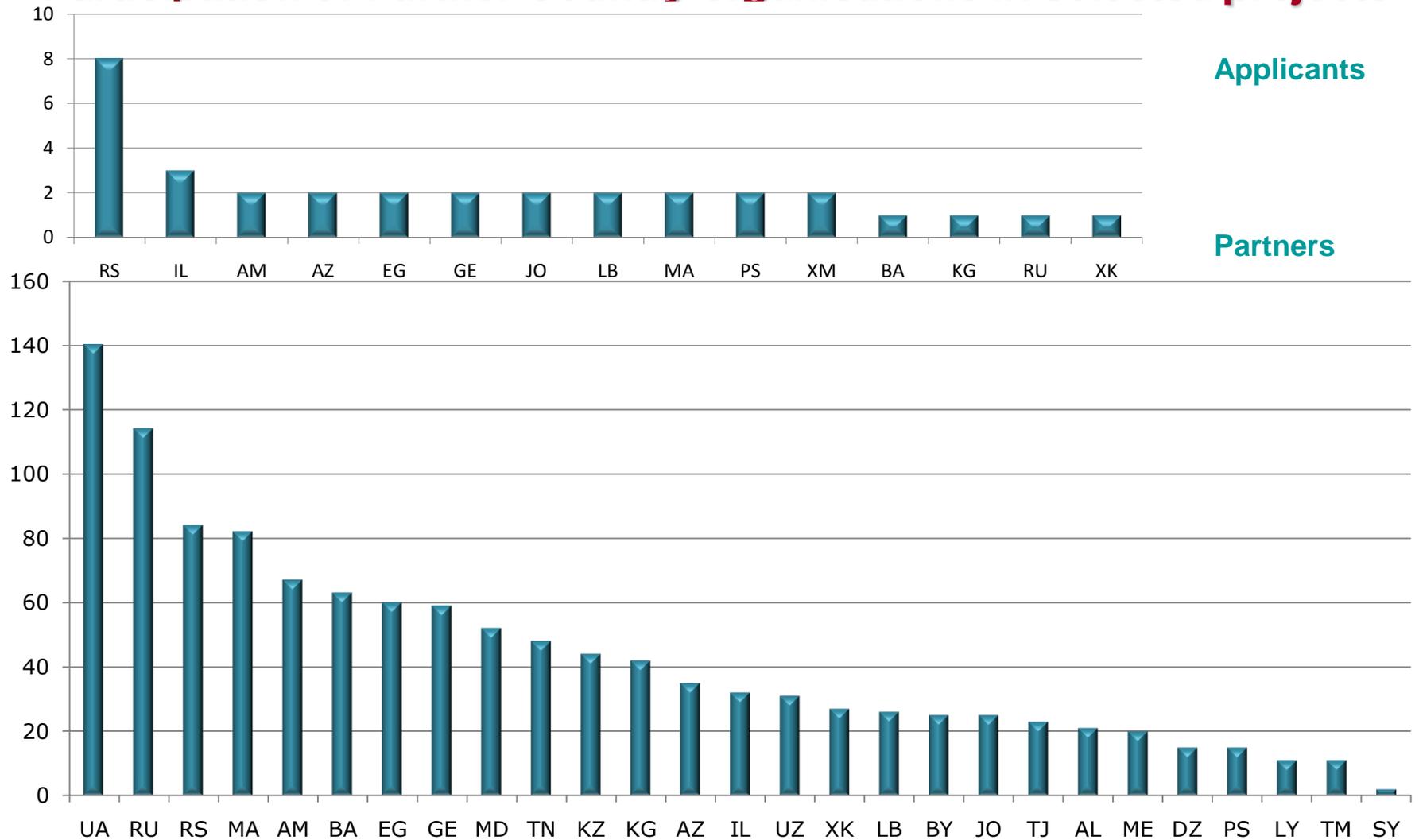
## Number of projects by target regions

REGION	Applications received	Eligible proposals	Above 50 points threshold	Proposed for consultation	Proposed for funding
Western Balkans	95	84	59	46	23
South Neighbouring area	150	109	95	60	31
Eastern Neighbouring area: Regional + Russian Federation	287	259	211	71	38
Central Asia	52	47	30	19	9
Multiregional	92	77	44	25	7
<b>TOTAL</b>	<b>676</b>	<b>576</b>	<b>439</b>	<b>221</b>	<b>108</b>
<b>Rates in 2012:</b>		85.20% <i>(from total received)</i>	64.94% <i>(from total received)</i>	32.69% <i>(from total received)</i>	<b>16%</b> <i>(from total received)</i>
<b>Rates in 2011:</b>		90.86% <i>(from total received)</i>	67.23% <i>(from total received)</i>	33.14% <i>(from total received)</i>	11,80% <i>(from total received)</i>

# Participation of the EU Member State organisations in selected projects



# Participation of Partner Country organisations in selected projects



## Selected projects per region, including the cross-regional projects

Region	Total	Multi-country	National	JP	SM
Western Balkans	24	13	11	20	4
South Neighbouring Area	34	17	17	27	7
Eastern Neighbouring Area (without Russia)	30	17	13	19	11
Russia (National and Regional)	15	8	7	11	4
Central Asia	13	8	5	10	3
<b>TOTAL NUMBER OF PROJECTS*</b>	<b>108</b>	<b>55</b>	<b>53</b>	<b>79</b>	<b>29</b>

\* This is not the result of the sums. Cross-regional projects are included in each region concerned.

## Selection Results Tempus IV

	1 <sup>st</sup> Call	2 <sup>nd</sup> Call	3 <sup>rd</sup> Call	4 <sup>th</sup> Call	5 <sup>th</sup> Call
Proposals recommended for funding	76	69	64	62	108
Proposals with Partner Country co-ordinators	18 (23,6%)	15 (21%)	18 (28%)	12 (20%)	33 (30%)
Joint Projects	63 (82,8%)	50 (72,5%)	50 (78%)	47 (76%)	79 (73%)
Structural Measures projects	13 (17,2%)	19 (27,5%)	14 (22%)	15 (24%)	29 (27%)
Multi-country projects	46 (60,5%)	34 (49%)	31 (48%)	30 (48%)	55 (51%)
National projects	30 (39,5%)	35 (51%)	33 (52%)	32 (52%)	53 (49%)

# **Part III**

## **Novelties of the sixth Call for Proposals**

## TEMPUS IV Indicative budget for projects selected under 6th Call: €129.8 million\*

REGION/COUNTRIES	2012	2011	2010
<b>Western Balkans</b>	<b>€ 14.15 million*</b> Albania € 1.9; Bosnia and Herzegovina € 2.28; Montenegro €0.76; Serbia: € 6.65; Kosovo: € 2.56	<b>€ 14.5 million</b> Albania € 1.9; Bosnia and Herzegovina € 2.3; Montenegro € 1.2; Serbia: € 6.6; Kosovo: € 2.5	<b>€ 12.4 million</b> Albania € 0.9; Bosnia and Herzegovina € 2.2; Montenegro € 1; Serbia: € 6.3; Kosovo: € 2
<b>Southern Mediterranean</b>	<b>€ 41.7 million</b>	<b>€ 29 million</b>	<b>€ 11.4 million</b>
<b>Eastern Europe/Caucasus</b> (Russia not included)	<b>€ 42.35 million</b>	<b>€ 29 million</b>	<b>€ 11.4 million</b>
<b>Bilateral allocation for Russia</b>	<b>€ 17.1 million</b> (for national and multi-country projects involving Russia)	<b>€ 8.5 million</b> (for national and multi-country projects involving Russia)	<b>€ 4.5 million</b> (for national projects)
<b>Central Asia</b> + bilateral allocation to Uzbekistan	<b>€ 9.5 million</b> <b>€ 5 million</b>	<b>€ 9.5 million</b>	<b>€ 9 million</b>

*\* An additional budget of € 11,11 million for the Western Balkan countries and € 5 million for Tunisia might become available following the adoption of the relevant Commission's decisions.*

# Novelties under the 6th Call for proposals (1)

## Updates:

- ✓ **Budget** : new budget earmarked for Tempus 6<sup>th</sup> Call and breakdown by regions, as well as bilateral allocation for the Russian Federation and Uzbekistan
  
- ✓ **Dates and deadlines:**
  - Publication of the 6th Call and related documents – **early December 2012**
  - Deadline for submission of applications – **26 March 2013**
  - Start of project activities: **1 December 2013**
  
- ✓ **National priorities:** revision and update by the national authorities in Partner Countries
  
- ✓ **Salary rates:**
  - alignment of the maximum salary rates in EU Member States, in line with other EU programmes for cooperation in HE (LLP);
  - update of the maximum salary rates in Partner Countries;

# Novelties under the 6th Call for Proposals (2)

## Content-related changes

- ✓ **Cross-cutting priorities** added under Section 2, point 2.5:
  - subject areas / themes listed as national priorities, which have been insufficiently covered by projects selected under the past Calls for Proposal;
  - HEIs that have not benefitted / had a limited participation under Tempus IV; will be assessed by external experts within “Relevance” award criterion
  
- ✓ **Award criteria**
  - revised and synthesised
  - “Relevance” criterion strengthened
  - assessment grid introduced in the text of the guidelines;

# Novelties under the 6th Call for proposals (3)

## Eligible Countries

### Croatia

- The funding of institutions and organisations based in Croatia (as applicants or partners) under the present call for proposals is subject to the accession of Croatia to the EU expected to take place on 1 July 2013.
- If by the time of the grant award decision which initiates contracting, Croatia has still not become an EU Member State, participants from this country will not be funded and will not be taken into account with regard to the minimum size of consortia.

# Novelties under the 6th Call for proposals (4)

## Content-related changes

### ✓ **Financial conditions**

- ✓ few changes occurred following the revision of the Financial Regulations

⇒ shorter deadlines for payments to projects:

**30 days** (instead of 45) for the first pre-financing;

**60 days** (instead of 90 for the second pre-financing and payment of the balance)

- ✓ **Audit certificates (=Report of Factual Findings on the Final Financial Report) required for all projects (no longer confined to those above € 750.000)**

⇒ A template will be available together with the documents related to this Call for Proposals on <http://eacea.ec.europa.eu/tempus>

# Novelties under the 6th Call for proposals (5)

## ✓ Financial Guarantees

The Agency may require any organisation which has been awarded a grant to provide a guarantee in order to limit the financial risks linked to the pre-financing payment(s).

Applicants from **private higher education institutions** should know that, if their project is recommended for funding, a bank guarantee is likely to be required. Private higher education institutions should make sure that they can obtain such a bank guarantee and provide it to the Agency when required.

# Part IV

## Submission procedure

# Submission of the applications: E-form

- One deadline →  
**26 March 2013 at 12:00 (midday) Brussels time**
  
- Two steps:
  - 1 - On-line submission of the eForm**, including 3 mandatory annexes (Declaration of Honour, Workplan and Budget Excel and Logical Framework Matrix) = **unique reference** information for the submission deadline.
  
  - 2 - Administrative and legal documents by post.**  
NO paper copy of the eForm is needed anymore.

# Submission of the applications: E-form

## Step 1: On-line submission of the eForm

- Complete and validate the eForm + correct mistakes



Notification of results will be done **only by electronic mail**.

⇒ **Provide in the eForm, a correct e-mail address, valid during the whole selection process. Check it regularly!**

- Attach the mandatory annexes:

- Declaration of Honour
- Workplan and Budget Excel Table
- Logical Framework Matrix

- Click on the SUBMIT button of the eForm and an automatic notification is sent with the project **Reference number**

# Submission of the applications: E-form

## Step 2: Administrative and legal documents by post

- Copy the **Project reference number** on each of the following **documents**:
  - Declaration of honour by the legal representative of the applicant organisation
  - Legal entity form
  - Bank identification form
  - Profit and loss accounts/ Balance sheet (if applicable)
  - Mandates
  
- **Send** the complete application package, **by 26 March 2013**, date of postmark, by registered post to the Agency postal address mentioned in the Call for Proposals.
  
- **Scanned versions** are accepted for **all supporting documents**, even in case the project is selected. **HOWEVER** originals must be kept by the applicant administration.

# Sources of guidance

- **The Instructions (for completing the Application form and the Budget Tables)**
  - ⇒ **Rules and guidance on eForm content and the entire application process**
- **The eForm User Guide**
  - ⇒ **Help with the technical aspects of completing the eForm**
- **Still need further assistance...?**  
**[EACEA-TEMPUS-CALLS@ec.europa.eu](mailto:EACEA-TEMPUS-CALLS@ec.europa.eu)**

# Part V

## Steps in the selection process

# Indicative roadmap for selection process – 6th Call for Proposals

Steps	Date
Publication of Sixth Tempus IV Call for Proposals	Second half of November 2012
Deadline for submission of applications	<b>26 March 2013</b>
Expert assessment	April – June 2013
Consultation of Ministries of Education in Partner Countries, EU Delegation and National Tempus offices	Mid-July – end-August 2013
Award decision	September 2013
Notification of applicants & publication of results	October 2013
Preparation and signature of grant agreements	October-November 2013
Start of eligibility period	<b>1 December 2013</b>

# The Call requirements

The selection process is based on the assessment of applications against 4 types of criteria:

- ❖ Eligibility Criteria
- ❖ Exclusion Criteria
- ❖ Selection Criteria
- ❖ Award Criteria

# Eligibility criteria

- ❖ Formal submission requirements
- ❖ Grant size and duration
- ❖ Applicant, Partners and Partnership requirements (number of partners, status of the grant applicant & partners, etc.)
- ❖ National & regional priorities

***It does not matter how well the project has been designed if it does not fulfil the eligibility criteria: this will lead to the automatic rejection of the proposal***

## Main reasons for rejection on formal criteria 2012 (1)

Out of 676 projects received, 100 were rejected for not complying with the eligibility criteria, for the following reasons:

- ☒ Applicant is NOT a higher education institution or association of HEIs
  - non-HEIs: non-profit organisations, foundations established as fund-raiser in universities; international organisations
  - faculties, departments, institutes, research centres or other university components
  
- ☒ Legal documents NOT signed by the legal representative of the applicant organisation
  - Declaration of Honour
  - Mandates
  - Legal entity form

## Main reasons for rejection based on formal criteria 2012 (2)

- ❑ Consortium composition: **minimum number of higher education institutions** NOT respected:
  - Non-profit organisations, faculties, departments, institutes, research centres or other university components cannot count for HEIs
  
- ❑ **Ministry of higher education** in Structural Measures projects NOT included
  
- ❑ **Minimum or maximum grant size** NOT respected

# Exclusion and Selection criteria

## Exclusion criteria:

- ❖ The institution is not in one of the situations described in section 6. Exclusion criteria of the Guidelines (such as bankruptcy, profession misconduct, subject of fraud, corruption, administrative penalty, conflict of interest, etc)

Legal person status of the applicant organisation

## Selection criteria

- ❖ Financial capacity to complete the proposed activities
- ❖ Operational capacity to complete the proposed activities

*Based on supporting and administrative documents, like the **declaration of honour, legal entity form, profit and loss accounts...***

# Award criteria

Five award criteria defined in the Call and assessed by external experts:

- ❖ Relevance (25%)
- ❖ Good quality partnership (20%)
- ❖ Quality of the project's content and methodology (25%)
- ❖ Dissemination & Sustainability (15%)
- ❖ Budget and Cost-effectiveness (15%)

# Project assessment by external experts

## Briefing session

- ❖ Two-day briefing on site: general and specific presentations, one-day assessment simulation, based on case study (team work) & handbook, debriefing

## Assessment

- ❖ Each application assessed by two different experts, by teleworking, supported by the Agency's on-line assessment tool
- ❖ 1st stage: Each expert carries out an individual assessment
- ❖ 2<sup>nd</sup> stage: the 2 experts assessing the same proposals → consensus discussions → consolidated judgements and scores
- ❖ Lead-experts - mediate discussions for consensus, ensure quality assessment outputs; perform 3rd assessment wherever consensus is not reached

## Debriefing panel with experts

- ❖ Discussion on the quality of the proposals
- ❖ Suggestions for improvement of the evaluation process

# Selection of external experts

- ❖ Currently > 500 experts names in database covering nationals from EU & Tempus Partner Countries
- ❖ 30% renewal each year, out of which 10% completely new experts
- ❖ An expert can assess Tempus projects for a maximum of three years consecutively
- ❖ Lack of conflict of interest duly checked and must be respected

## Criteria:

- ❖ Professional experience in thematic areas covered by applications
- ❖ Competences in the Tempus working languages: EN, FR, DE
- ❖ Proved expertise in project cycle management, Tempus Programme and cooperation with the Tempus Partner Countries / Regions
- ❖ Knowledge of the higher education modernisation agenda

## Tempus Programme

You are here: [EACEA](#) > [Tempus](#)

- Education & Training
- Youth
- Culture
- Citizenship
- MEDIA

Tempus is the European Union's programme which supports the modernisation of higher education in the Partner Countries of Eastern Europe, Central Asia, the Western Balkans and the Mediterranean region, mainly through university cooperation projects. [More about Tempus](#)

The Education, Audiovisual and Culture Executive Agency (EACEA) is responsible for the management of Tempus IV (2007 - 2013) under the supervision of [EuropeAid](#) (DEVCO) and [Directorate-General for Enlargement](#) (DG ELARG) of the European Commission.

The Tempus Programme 2007-2013 is composed of 3 different actions, all managed by the Executive Agency.

Programme	Funding opportunities	Beneficiaries space
<p>Tempus IV (2007 - 2013)</p> <p>Who can participate?</p> <p>How to apply?</p> <p>Action 1: Joint Projects</p> <p>Action 2: Structural Measures</p> <p>Action 3: Accompanying Measures</p> <p>Higher Education Reform Experts</p>	<p>More information on funding opportunities, application procedures and the selection process can be found here</p> <p>For Higher Education institutions and Other Bodies</p> <p>For students and academics</p> <p>Archives</p>	<p>Reporting templates for final and intermediary reports and other information for beneficiaries can be found here.</p> <p>Tempus IV (2007 - 2013)</p> <p>Tempus III (2000-2006)</p>

### Results, statistics & country information

Information on the results of previous selections rounds, statistics about the Programme, and information about the participating countries can be found here.

Information on Tempus IV projects

Selection results

Tempus statistics

Tempus by country

Useful links - [All links](#)


More info

- Contacts
- Publications
- Glossary
- Useful links
- Events

News & updates Tempus

- NEW** 6th Call Tempus IV to be published early November with deadline Feb/March 2013
- NEW** Calendar of upcoming 6th Call Information Days available here
- NEW** 5th Call project documents now published here

22/10/2012 - 12:57  
5th Call project documents now published here

22/10/2012 - 12:56  
Calendar of upcoming 6th Call Information Days available here

22/10/2012 - 12:56  
6th Call Tempus IV to be published early November with deadline Feb/March 2013

29/11/2011 - 16:15  
The new "Erasmus for all" programme for 2014-2020 - The new programmes have been presented by the European Commission

04/11/2011 - 16:35  
Tempus IV - Fifth call for proposals has been published

Information on the Programme

How to apply

Publications with Programme Guide

Calls for Proposals

Access to the list of selected projects

Selection Results

## Tempus Programme

Education & Training Youth Culture Citizenship MEDIA

You are here: [EACEA](#) > [Tempus](#) > [information on Tempus IV projects](#)

### Programme

[Tempus IV \(2007 - 2013\)](#)  
[Who can participate ?](#)  
[How to apply?](#)  
[Action 1: Joint Projects](#)  
[Action 2: Structural Measures](#)  
[Action 3: Accompanying Measures](#)  
[Higher Education Reform Experts](#)

### Funding opportunities

[Higher education institutions](#)  
[Students and academics](#)  
[Archives](#)

### Beneficiaries space

[Tempus IV \(2007 - 2013\)](#)  
[Tempus III \(2000-2006\)](#)

### Results, statistics & country information

[Information on Tempus IV projects](#)  
[Selection results](#)  
[Tempus statistics](#)  
[Tempus by country](#)

Print Share

## Information on Tempus IV projects

The Excel table below provides basic details about projects funded under Tempus IV: project number, project type, project title, list of partners and countries, names and e-mail addresses of contact persons, budget awarded and project summary as provided in the initial application.

Table of projects:  

When you open the table, you will see a security warning "macros have been disabled". In order to be able to use the table properly, choose the option "enable this content".

You can manipulate the Excel sheet and sort the data using the normal Excel functions.

Please note that, since each row of the table corresponds to one partner, each project appears several times, depending on the number of partners. The partner role is indicated in column F, where APP refers to the applicant (=coordinator) and PAR to partners.

### How to use the table

- To view the list of all projects currently supported under Tempus IV select "APP" from the dropdown in column F ("Partner Role"). From this list you will also be able to see the name of the coordinating institution and the contact person (column I and J).
- To view the main features of any individual project, notably the partners involved and the summary, select the relevant project number from the dropdown in column B ("Project Number").
- To access the list of projects in which a specific country is involved (including details of partners from that country), select the country concerned from the dropdown in column E ("Country").
- To read the summary of a given project, click on the corresponding cell in column L ("Summary of project", in yellow).
- If you are looking for projects that address a certain subject, you can search on column D ("Project Title") or column L ("Summary"). On the filter of the relevant column, choose "Text filters" and then the option "contains". You can then input a term that you are looking for and the result will show only those projects where the term appears (in the title or the summary, depending on the column that you have chosen).
- To reset the sheet and return to the full list of projects, click on the Reset button (at the top of the Excel sheet, under the title).

### Notes

- When searching for an institution, please note that the same institution name can appear in different versions (spelling, language), depending on how it has been entered in the application form.
- This project list is extracted once a year from the Tempus internal database and may therefore not always include the most recent changes or modifications.

Last update: 28/10/2011  Print | [Top of page](#)

List of selected Tempus IV projects

# Thank you for your attention!

**More on:**

**<http://eacea.ec.europa.eu/tempus>**

