

Erasmus+ (2014 - 2020)

The International Dimension

Support to Modernisation and Internationalisation



Erasmus+ International Dimension

Capacity Building in the field of Higher Education General overview

The consortia and the financing rules

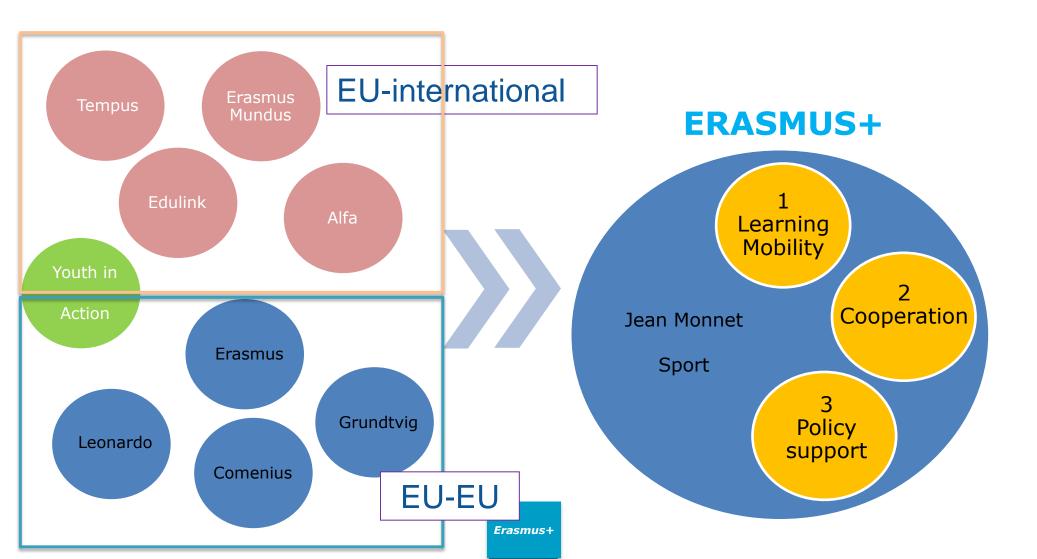
Calendar – Call 2017

The application and assessment steps



2007-2013

2014-2020





Erasmus+ International Actions (II)

- <u>Credit mobility</u>: student and staff mobility, Erasmustype, incoming and outgoing mobility.
- Jean Monnet: promoting excellence in teaching and research in EU studies. Support to courses, research, conferences, networking activities, and publications.





Erasmus+ International Dimension

- 135,000 student & staff exchanges with Partner countries over 7 years
- Joint Master Degrees: 25,000 students
- 1,000 Capacity Building Projects with 150 Partner countries





Management

Centralised management through
 Executive Agency in Brussels – degree mobility, capacity-building and Jean Monnet

 Decentralised management through National Agencies set up in programme countries – credit mobility





Who can apply

- Joint Masters & Credit mobility:
 Only HEIs in Programme countries.
- Capacity building & Jean Monnet
 Both Programme and Partner countries.





Erasmus +

Key Action 2
Capacity Building in the field of
Higher Education

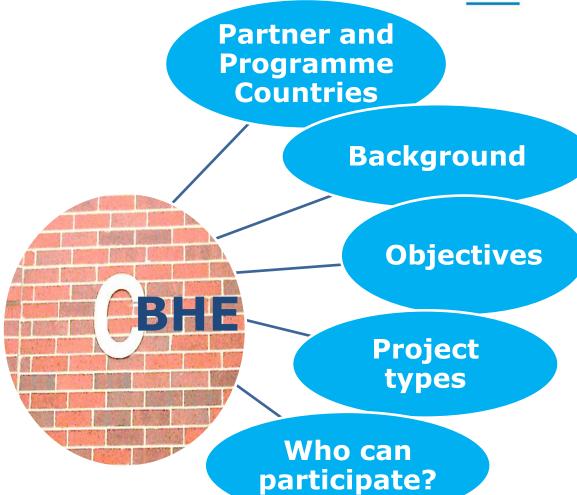
Call for proposals 2017



PART I

General Overview of the action





Capacity-Building for HE:

- transnational cooperation projects
- multilateral partnerships
- primarily between higher
 education institutions
 (HEIs)
- Programme and eligible Partner Countries



Programme/Partner Countries

33 PROGRAMME COUNTRIES

Contribute financially to ERASMUS+

- EU Member States +
- Turkey, Iceland, Liechtenstein,
 Norway, Former Yugoslav Republic of Macedonia

ELIGIBLE PARTNER COUNTRIES > 150







Programme/Partner Countries

Programme Countries

EU Member States:

Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, United Kingdom

Other programme countries:

Iceland, Liechtenstein, Norway, former Yugoslav Republic of Macedonia, Turkey.

Partner Countries

ELIGIBLE PARTNER COUNTRIES > 150

Target Beneficiaries

- ACP
- Asia
- Central Asia
- Estearn Partnership Countries
- Latin America
- Iran, Iraq, Yemen
- South Mediterranean Countries
- Russia
- South Africa
- Western Balkans





Background - Approaches





Objectives

Improve the modernisation and quality of HE and relevance for the labour market and society

Improve the competences and skills in HEIs via innovative education programmes

Enhance the management, governance and innovation capacities, as well as the internationalisation of HEIs

Increased capacities of national authorities to modernise their higher education systems

Foster regional integration+ cooperation between different regions of the world



Types of Projects

Joint Projects:

=> Impact Institutions

curriculum development

university governance & management

Links between HE institutions and the wider economic and social environment

Structural Projects:

=> Impact Systems

modernisation of policies, governance and management of higher education systems

Links between HE systems and the wider economic and social environment



Joint Projects – Example of Activities



Development, testing and adaptating of tools and methods



Staff Training (academic and non-academic)



Strengthening internationalisation and promoting the Knowledge Triangle



Upgrading facilities necessary to implement innovative practices



Structural Projects – Example of Activities



Internationalisation and Bologna Process

ECTS, 3 cycles, recognition of degrees etc.



Degree of excellence or well the standard of somethin superiority, high grade, constant characteristic controls.

Quality

Frameworks, assurance systems/guidelines



Innovation

policy making + monitoring
(including the establishment of
 representative bodies,
organisations or associations)







Western Balkans, South-Mediterranean and Eastern Partnership countries **ONLY**



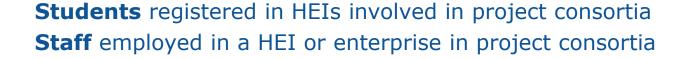


Complementary for Joint and Structural projects



Additional to the core budget

For whom?





Programme Country to Programme Country flows are ineligible





Conditions:

- Mobility should be instrumental and integrated in projects objectives (no mobility on its own)
- Added value and/or innovative character of the activities proposed (approx. 40% of the selected projects will receive additional funding)
- Comply with provisions of Erasmus Charter for Higher Education







Student mobility

- Study periods (3-12 months) or traineeships-work placement
- Consortia country institution
- 2-12 months
- Study levels: Bachelor, Masters, Doctorates
- study area/academic discipline addressed by the project





Staff Mobility

- Teaching & training periods (5 days to 2 months)
- Teaching: HEI teaching staff /staff from enterprises to teach
- Training: HEI teaching and non-teaching staff:
 - structured courses/training events (conferences excluded);
 - job shadowing/observation periods/trainings
- Partner HEI or any other relevant organisation in a consortia country



Who can Participate? - Eligible Applicants

State-recognised public or private Higher Education Institutions

Associations/ Organizations of Higher Education Institutions

Only for <u>Structural Projects</u>: recognized national or international rector, teacher or student organisations.



Each applicant organisation must be located in a Programme or in a Partner country



Who can Participate? - Eligible Partners

State-recognised public or private HEIs

Any public or private organisation active in the labour market or in the fields of education, training and youth (e.g. enterprise, NGO etc.)

Associations or organisations of HEIs with main focus on HE

International governmental organisation (self-financing basis)



Each participating organisation must be located in a Programme or in an eligible Partner country



Who can participate? - Associated Partners

- Contribute indirectly to the project
- No financial support from the project
- E.g.: non-academic partners providing placement opportunities

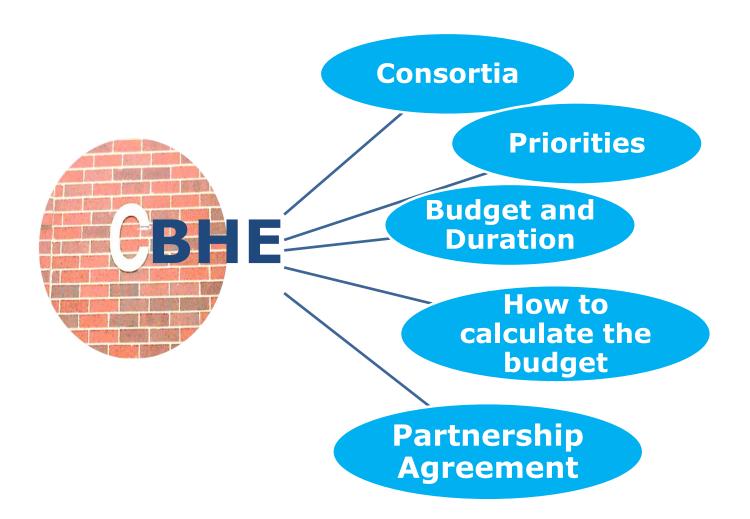


Part II – The Consortia and the financing rules











Consortia Structure

National Projects

(1 Partner Country only + min. 3 Programme Countries)

Min.1 HEI from each Programme Country

STRUCTURAL PROJECTS:

Partner
Country
Ministries for
HE must
participate

Multi-Country Projects

(≥ 2 Partner Countries+ min. 3 Programme Countries)

Min.1 HEI from each Programme Country

Min.3 HEI from the Partner Country

At least as many Partner Country HEIs as Programme Country HEIs

Exception Russia Latin America,

Min.2 HEI from each Partner Country

At least as many Partner Country HEIs as Programme Country HEIs





Ex.1a: minimum consortia: national project (4 HEIs)

Montenegro – exception from the general rule

Min. 3 Programme Countries min. 1 HEI each



University of Montenegro



Rome University



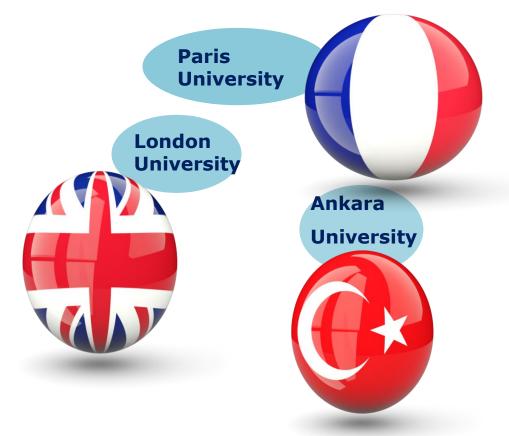


Example 2: multi-country project within one region

Min. 2 Partner Countries
Min. 2 HEIs each

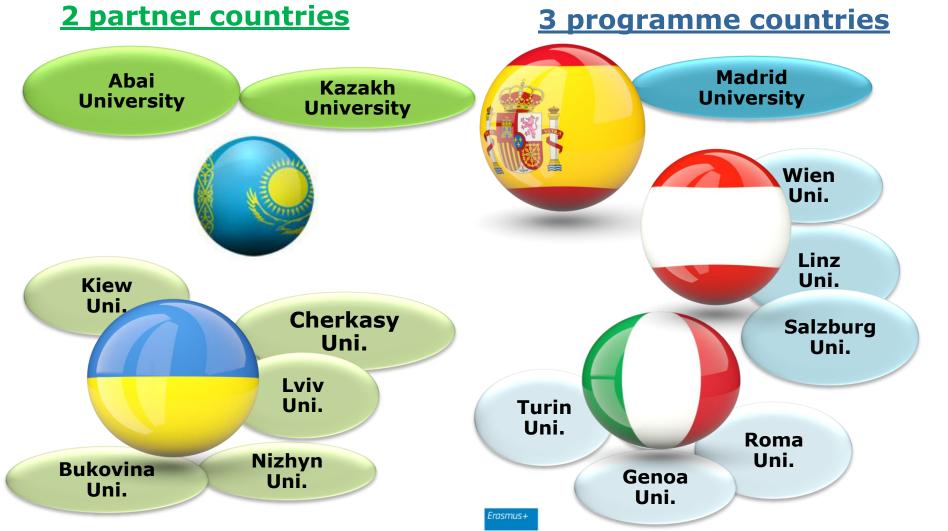


Min. 3 Programme Countries:
Min. 1 HEI each





Example 3: consortia composition (multi-country project different regions)





Partnership Agreement

- Mandatory
- To be submitted to the Agency within 6 months of the signature of grant contract (Signed by the legal rep.)
- Joint (one doc signed by all partners) or Bilateral (partner A + coordinating inst.)
- **Template** available to be adapted to specific needs of partnership
- Comprehensive: covering <u>all aspects</u> of the project:
 - The partners role and responsibilities;
 - Financial Management;
 - Project Management;
 - Project Quality Assurance;
 - Student issues
 - Decision/Conflict resolution mechanisms





Projects budget and duration

Duration 24 or 36 Months

Min 500,000 - Max 1,000,000 €



Priorities & Types of projects

National Priorities defined by the **Ministries of Education** in close consultation with the EU Delegations

Regional priorities defined by the **Commission** and based on EU's external policy priorities

National Projects

National projects must address:

National Priorities set for Partner Country in Regions 1, 2, 3, 7, 10

Regional Priorities for the regions where no national priorities are established: Regions 4, 6, 8, 9, 11

Multi-Country Projects

Regional priorities apply to multi-country projects in the same region

Combination of **regional + national**priorities **common** to all partner countries
may also be accepted
(in particular for cross-regional projects)



Priorities – Categories/Types of Activities

Types of Activities	Curriculum	Governance and	Higher Education
	Development	Management	and Society
Categories of Priorities			
A. Subject Areas	X		
B. Improving quality of	X	X	X
education and training			
C. Improving Management and		X	
operation of HEIs			
D. Developing the HE sector			X
within society at large			
	Frasmus+		34



National priorities for Joint and Structural Projects Erasmus+ KA2 - Capacity Building in Higher Education (CBHE) Actions

Category	National priorities- Montenegro
A	Teacher training and education science; Law; Life sciences; Mathematics and statistics; Computing; Engineering and engineering trades; Agriculture, forestry and fishery; Health; Personal services; Others (Multidisciplinary, Interdisciplinary)
В	 Learning and teaching tools, methodologies and pedagogical approaches including learning outcomes and ICT-based practices (inter alia, flexible learning paths, blended courses, virtual and real mobility, practical placements etc.) Multidisciplinarity/Interdisciplinarity
С	 Governance, strategic planning and management of higher education institutions (including human resources and financial management) University services such as support services for student and staff mobility, such as student counselling and guidance, social services, academic affairs, libraries, etc.; Internationalisation of higher education institutions (including recognition mechanisms and mobility, international relations capacities) Quality assurance processes and mechanisms;
D	- Lifelong learning, continuing education -University-enterprise cooperation, employability of graduates



Regional priorities for Joint and Structural Projects Erasmus+ KA2 - Capacity Building in Higher Education (CBHE) Actions

Category	Regional priorities Western Balkans (Region 1)
A	Teacher training and education science; Physical sciences; Engineering and engineering trades; Agriculture, forestry and fishery; Health; Transport services; Environmental protection
В	-Learning and teaching tools, methodologies and pedagogical approaches including learning outcomes and ICT-based practices (inter alia, flexible learning paths, blended courses, virtual and real mobility, practical placements etc.)
С	 Governance, strategic planning and management of higher education institutions (including human resource and financial management); Internationalisation of higher education institutions (including recognition mechanisms and mobility, international relations capacities); Access to and democratisation of higher education (including the disadvantaged groups of people and regions)
D	 Non-University sector at tertiary education level; University-enterprise cooperation, entrepreneurship and employability of graduates; Qualification frameworks and recognition of qualifications; Definition, implementation and monitoring of the reform policies



How to calculate the budget -Categories

Sub-contracting (max 10%)

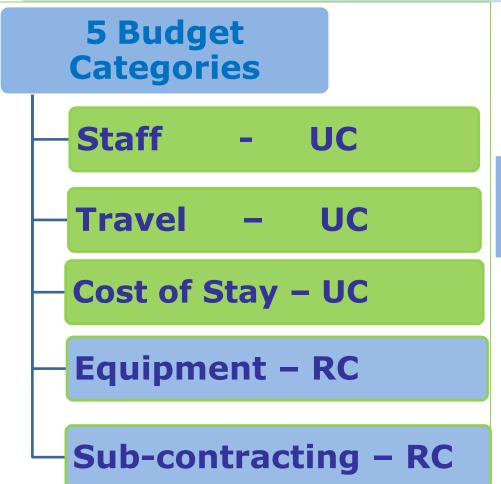
Staff costs (max 40%)	4 Staff Categories (Manager, Researcher/ Teacher/Trainer, Technician, Administrator)
Travel costs	Students/staff from partners in countries involved in the project from their place of origin to the venue of the activity and return. Activities and related travels must be carried out at project beneficiaries organisation.
Costs of stay	Subsistence, accommodation, local and public transport, personal or optional health insurance.
Equipment (max 30%)	Purchased <u>exclusively</u> for the benefit of HEIs in the Partner Countries
	Exceptional for services related to

competences that can't be found in the

consortia



How to calculate the budget -Methods



2 Allocation / Justification Methods

Real Costs (RC) (UC)

Other types of costs (ex.: dissemination, publishing, overheads costs, etc.) are not considered for the calculation of the grant.

>>> Expected to be covered by co-funding.



How to calculate the budget -Unit Costs

- ➤ A unit cost is a **fixed contribution** which is multiplied by the specific **number of units** to cover the costs linked to the implementation of a specific activity or task.
- > **EU Grants** : 2 important principles.
 - Non profit
 - Co-funding
- How to respect these principles under a "unit cost" approach?
- ▶ E+ Unit Cost amounts are the result of a statistical analysis carried out on real project costs from previous generation of programmes (LLP, Erasmus Mundus, Jean Monnet, Tempus, etc.)
- ➤ **For CBHE,** the simulation carried out on former Tempus projects showed that "*statistically*" the combination of real and unit costs corresponded +/- to 90% of their total eligible costs.





How to calculate the budget -Unit Costs

- > Real costs: How did you use the grant?
 - => input based
 - =>Expenses incurred, supporting documents
- Unit costs: what did you achieve with the grant?
 - =>output based
 - =>No need to prove the actual expenditure but you need to show the "triggering event" (i.e.: the fact the activity was indeed properly implemented (e.g. teaching, training)





How to calculate the budget - Unit Cost

Unit Costs

Grant **Allocation**

Volume (/nature) of activities proposed in the application

Grant **Justification**

(final report)

Eligibility verification of the "triggering event"

Use of the Grant

internal decision of the partnership (in coherence with application)





How to calculate the budget - STAFF COSTS

Financing mechanism for staff costs (see Programme Guide page 158, 160 and page 161 Table A and B)	Amount	
Unit costs	per manager involved per day	
Subdivided in 4 categories	per researcher/ teacher/trainer involved per day	Max. 40% of the total grant
and country groups (4 groups for Programme and 4 groups for Partner	per technician involved per day	
Countries)	per administrative staff involved per day	



How to calculate the budget Travel/Cost of Stay

Costs of Stay

(see Programme Guide page 159)

Travel Costs

(see Programme Guide page 158)

for eligible activities please refer to page 287-288

DAYS	STAFF	STUDENTS
1-14	120€	55€
15-60	70€	40€
61 - 90	50€	n.a.

Distance Bands	Unit Cost
100-499 km	180€
500-1999 km	275€
2000-2999 km	360€
3000-3999 km	530€
4000-7999 km	820€
8000 km and more	1.100€



How to calculate the budget - Travel/Cost of Stay

http://ec.europa.eu/programmes/erasmus-plus/tools/distance_en.htm

Example 1:

Staff Trip: From Paris to Bxl (308 KM)

Duration 2 days

Real expense:

Travel Costs: 120 €

Hotel + Subsistence Costs (250 €)

Total real expenses: 370 €

Calculation (unit-costs):

Travel Costs: 180 €

Costs of Stay: 2 x 120 € = 240 €

Total unit-costs: 420 €

Example 2:

Staff Trip: From Paris to Berlin (771 KM)

Duration 2 days

Real expense:

Travel Costs :250 €

Hotel + Subsistence Costs (300 €)

Total real expenses: 550 €

<u>Calculation (unit-costs):</u>

Travel Costs: 275 €

Costs of Stay: 2 x 120 € =240 €

Total unit-costs: 515 €





How to calculate the budget -



Max. 80% of the total EU grant awarded for the joint or structural project (excluding the mobility strand)

(see Programme Guide page 162-165)

Travel costs

Cost of Stay





How to calculate the budget STUDENTS - Cost of Stay



Costs of Stay €/Month

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Students from Programme Countries

Irrespective of hosting country

650 €

Students from Partner countries

Country group 1		Country group 3 + 4
hosting	hosting	hosting
850 €	800 €	750 €





How to calculate the budget STAFF Costs of Stay

Cost of Stay €/Day Staff from Partner Countries

Cost of Stay €/Day
Staff from Programme Countries

Days	Country group 1 hosting	Country group 2 hosting	Country group 3 hosting	Country group 4 hosting
1-14	160 €	140 €	120 €	100 €
15-60	112€	98 €	84 €	70 €

Days	Irrespective of hosting country
1-14	160 €
15-60	112€



Part III - The application and

Selection procedure







What is assessed: criteria?

By whom – Selection Process?



Selection results 2016

Stage	1 Western Balkans	2 Eastern Partners hip	3 South Med	4 Russian Federa- tion	6 Asia	7 Central Asia	8 Latin America	9 Iran, Iraq, Yemen	10 South Africa	11 ACP	Total*
Reception	109	238	169	78	78	101	78	8	14	53	736
Eligible	93	219	146	77	68	93	72	8	13	42	653
Sent to Consultation	37	64	80	35	57	49	31	5	6	19	287
Proposed for funding	18	24	36	16	53	19	14	4	5	9	147
Success rate	17%	10%	21%	21%	68%	19%	18%	50%	36%	17%	20%
(compared to 2015)	(15) +20%	(23) +4%	(40) -10%	(13) +23%	(27) +96 %	(23) -17%	(19) -26%	(2) +100%	(4) +25%	(0)	(140) +5%

^{*} The number of projects by region cannot be added considering that a project can cover different regions.

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Lessons Learned

- ✓ Relatively high percentage of **ineligible applications** due to insufficient understanding of minimum requirements for consortia;
- ✓ National/regional priorities established for the Partner Country need to be respected and matched with the local needs (assessed in award criterion 'relevance');
- ✓ A need to mobilise **new institutions** both in Programme and Partner Countries, **as grant holders and/or partners**;
- ✓ Outreach beyond the capital cities to institutions in the regions and the periphery;
- ✓ Lack of innovative approaches (new content and methodologies);



Key messages for the 3rd Call

- ✓ Diversify the type of applicants involving new institutions;
- ✓ Intensify efforts to encourage the cooperation with Asian countries;
- ✓ Disseminate widely the opportunity to cooperate with ACP countries;
- ✓ Pay particular attention to the eligibility criteria, mainly minimum number
 of nationally recognised HEIs in consortia;
- ✓ Respect strictly the national / regional priorities addressed to each Partner Country affecting the score on Relevance (threshold of 50% must be reached to pass to the next selection stages);
- ✓ Insist on the need to empower the Partner Country partners from the early stages of the proposal preparation.





Indicative Budget and Calendar for 2017 Call

Region	Budget allocated 2016 (in Mio €)	Budget allocated 2017 (Indicative) (in Mio €)	Indicative number of selected projects under the assumption of national projects)
REGION 1 - Western Balkans	13,17	13,82	17
REGION 2 - Eastern Partnership countries	13,86	13,51	16
REGION 3 - South-Mediterranean countries	28,57	27,84	35
Additional allocation for Tunisia*	0	3,00	4
REGION 4 - Russian Federation	6,89	6,72	8
REGION 6 - Asia	35,38	39,55	48
REGION 7 - Central Asia	9,2	8,80	11
REGION 8 - Latin America	13,1	13,20	16
REGION 9 - Iran, Iraq, Yemen	1,9	2,00	- 3
	0,69	0,69	
REGION 10 - South Africa	3,42	3,91	5
REGION 11 - ACP countries	5,29	5,64	8
TOTAL	131,47	138,68	

*An additional allocation for Tunisia might become available subject to the relevant Commission decisions being taken.



Application and Selection procedure Indicative roadmap for selection process-CBHE

Steps	Date
Publication Erasmus+ CBHE Call for Proposals	October 2016
Deadline for submission of applications	9 February 2017
Verification of eligibility of project proposals	February - March 2017
Assessment of projects by experts (remotely)	March - May 2017
Consultation procedure	June 2017
Evaluation Committee for selection of projects	July 2017
Sending for signature of Award decision by Agency AO	July 2017
Notification of applicants & publication of results	July 2017
Preparation and signature of grant agreements	August-September 2017
Start of eligibility period	15 October 2017



What is assessed? Eligibility Criteria

Formal submission requirements

Grant size and duration

Applicant, Partners and Partnership requirements (number of partners, status of the grant applicant & partners, etc.)





What is assessed? Exclusion and Selection Criteria

The institution is **not in one of the situations described in section C.** Exclusion criteria of the Guidelines (such as bankruptcy, professional misconduct, subject of fraud, corruption, administrative penalties, conflict of interest, etc.)

Legal person status of the applicant organisation

Financial capacity to complete the proposed activities (private entities only)

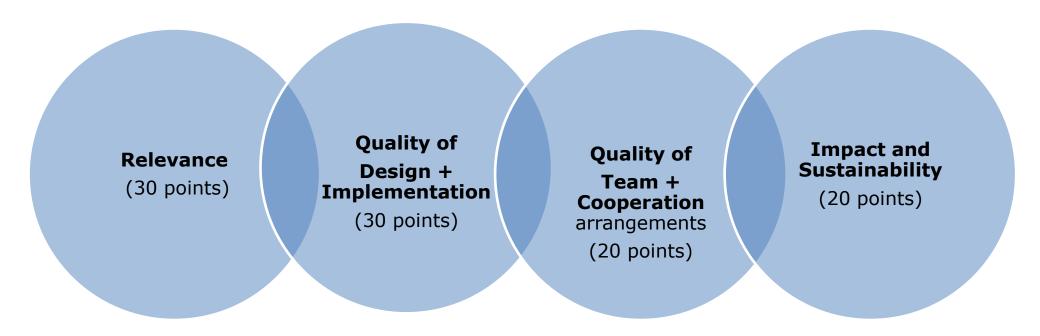
Operational capacity to complete the proposed activities

Based on supporting and administrative documents, like the declaration of honour, legal entity form, profit and loss accounts...





What is assessed? Award Criteria



To be considered for funding, proposals must score at least 60 points in total and - out of these points at least 15 points for "Relevance"





What is assessed? Award Criterion 1 - Relevance

DEFINITION

- The project contributes to the achievement of the policy objectives of the participating partners
- It is based on and addresses real needs & problems of the target groups



CONTENT

- How clearly the project addresses the Programme objectives and priorities (annual, thematic, geographical priorities)
- Needs analysis and presentation of specific problems addressed
- Definition of target groups
- What is **innovative** or complementary to other initiatives
- How the project was prepared





What is assessed ? Award Criterion 2 Quality of Design and Implementation

DEFINITION

- The activities proposed are appropriate to achieve the specific and wider objectives
- It uses the most appropriate methodology
- It demonstrates a logical and sound planning capacity



CONTENT

Description of the project as a whole, including:

- specific objectives
- activities, expected outcomes, wider and specific objectives
- academic content and pedagogical approach
- involvement of academics, students and stakeholders at large
- quality control processes





What is assessed? Award Criterion 3 - Quality Team and Cooperation

DEFINITION

- The partnership includes all the skills, recognised expertise and competences required
- Suitable distribution of tasks
- Sound communication and coordination



CONTENT

- Presentation of the partners competences and roles in the project
- Description of any complementary skills, expertise and competences directly relating to the planned project activities
- ensure **regional dimension**
- Planned measures to ensure effective communication







What is assessed? Award Criterion 4 - Impact and Sustainability

DEFINITION

- Information/outcomes of the project are made available to groups not directly involved (multiplier effect)
- Optimal use of the results during & beyond the project lifetime
- Expected impact will be substantial and sustainable in the long term (financial, institutional and policy level)



CONTENT

- Expected **impact** at different levels
- Dissemination strategy: outputs to be disseminated, target groups, dissemination tools & activities
- Measures planned to ensure the sustainability of project outcomes and outputs at three levels: financial, institutional and political
- Evidence of impact on HE at institutional / national level in PCs







What is assessed? Quality Design & Implementation



DEFINITION

- Full contribution to the achievement of the related project and added value of the project
- Transparent procedures selection of participants
- Quality systems



CONTENT

Relevance of the mobility strand for the project

Well-articulated with the project; demonstrated added value

Demonstration of positive **impact** for individual and institutions

Validation and recognition at institutional level





Part III: What is assessed? - Selection Process

Project Proposal EACEA Eligibility check Assessment by Independent Ranking on experts **QUALITY** based on award criteria **EACEA Consultation: EU Delegations, PC** authorities, NEOs **Evaluation** Committee **EACEA, DGs, EEAS Final ranking list EACEA Grant Award Decision**

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What is assessed? - Award Decision

EACEA takes decision based on:

Evaluation
Committee's
recommendation,
taking into account:

ranking list on quality established by external experts

the results from the consultation process

the budget available for each region

the need to achieve a geographical balance within a region

sufficient coverage of the priorities



CBHE Information sources

Erasmus+ website - EACEA

http://eacea.ec.europa.eu/erasmus-plus en

Erasmus+ website – EU Commission

http://ec.europa.eu/programmes/erasmus-plus/index_en.htm

International E+ Contact Points (ICPs) in Programme Countries

https://eacea.ec.europa.eu/erasmus-plus/contacts/internationalerasmus-plus-contact-points en

National Erasmus+ Offices (NEOs) in certain Partner Countries (PCs)

https://eacea.ec.europa.eu/erasmus-plus/contacts/national-erasmus-plus-offices en





